

*Amendments to the Claims*

The listing of claims will replace all prior versions, and listings of claims in the application.

1. (Currently Amended) A document security system for restricting access to secured documents, ~~said document security system~~ comprising:

at least one process-driven security policy that includes a plurality of states and transition rules, each of the states having ~~corresponding~~ associated therewith one or more access restrictions, and the transition rules ~~specify~~ specifying when circumstances under which the secured document is to transition from one state to another; and

an access manager that determines whether access to a secured document is permitted by a requestor based on the policy state associated therewith at the time access is requested and the corresponding one or more access restrictions thereof for ~~the said~~ process-driven security policy.

2. (Currently Amended) The ~~A~~-document security system as recited in claim 1, wherein the ~~corresponding~~ one or more access restrictions ~~for access to~~ for the secured document are automatically changed when the state of ~~the said~~ process-driven security policy for the secured document changes.

3. (Currently Amended) The ~~A~~-document security system as recited in claim 1, wherein events cause the state of ~~the said~~ process-driven security policy for the secured document to automatically transition ~~between states from one state to another.~~

4. (Currently Amended)      The A-document security system as recited in claim 3, wherein the events are internal or external events with respect to the said-document security system.

5. (Currently Amended)      The A-document security system as recited in claim 4, wherein at least one of the events is an external event from a document management system.

6. (Currently Amended)      The A-document security system as recited in claim 1, wherein one or more of the corresponding one or more access restrictions for access to the secured document remain intact when the state of the said-process-driven security policy for the secured document changes.

7. (Currently Amended)      The A-document security system as recited in claim 1, wherein events cause the state of the said-process-driven security policy to automatically transition ~~between states~~ from one state to another, wherein the said process-driven security policy includes at least a first state, a second state, and a third state, and wherein a first event causes transition from the first state to the second state, and a second event causes transition from the second state to a third state.

8. (Currently Amended)      The A-document security system as recited in claim 1, wherein events cause the state of ~~the said~~ process-driven security policy to automatically transition ~~between states from one state to another~~, wherein ~~the said~~ process-driven security policy includes at least a first state and a second state, and wherein a first event causes transition from the first state to the second state.

9. (Currently Amended)      The A-document security system as recited in claim 1, wherein the transition rules are based on events.

10. (Currently Amended)      The A-document security system as recited in claim 9, wherein the transition rules are written in XML.

11. (Currently Amended)      The A-document security system as recited in claim 1, wherein events cause the state of ~~the said~~ process-driven security policy for the secured document to transition from a previous state to a current state, and wherein the secured document is modified when ~~the said~~ process-driven security policy for the secured document transitions from the previous state to the current state.

12. (Currently Amended)     The A-document security system as recited in claim 11, wherein the secured document includes at least a security information portion and an encrypted data portion, the security information portion including at least an encrypted key, and the key being encrypted must be decrypted in order to decrypt the encrypted data portion, and wherein when the said-process-driven security policy for the secured document transitions from the previous state to the current state, the secured document is modified by decrypting the encrypted key and then re-encrypting the key, whereby the key is encrypted differently for the current state than the previous state.

13. (Currently Amended)     The A-document security system as recited in claim 11, wherein, when ~~if~~-permitted, access to the secured document is available at a client machine.

14. (Currently Amended)     A method for transitioning at least one secured document through a security-policy state machine having a plurality of states, ~~said method~~ comprising:

- (a) receiving an event;
- (b) determining whether the event causes a state transition for the at least one secured document from a former state to a subsequent state of the security-policy state machine; and
- (c) automatically transitioning from the former state to the subsequent state of the security-policy state machine when ~~said~~the determining (b) determines that the event causes the state transition.

15. (Currently Amended)     The ~~A~~-method as recited in claim 14, wherein the security-policy state machine implements a process-driven security policy, wherein each state of the security-policy state machine has different access restrictions.

16. (Currently Amended)     The ~~A~~-method as recited in claim 14, wherein each of the states of the security-policy state machine have different access policies.

17. (Currently Amended)     The ~~A~~-method as recited in claim 16, wherein the security-policy state machine is provided as ~~or~~ part of a document security system, and wherein the different access policies of the security-policy state machine are enforced by the document security system.

18. (Currently Amended)     The ~~A~~-method as recited in claim 14, wherein the ~~said~~ transitioning (c) comprises modifying the secured document to reflect the subsequent state of the security-policy state machine.

19. (Currently Amended)     The A-method as recited in claim 14, ~~wherein the~~  
~~said~~ transitioning (c) comprising ~~comprises~~:

retrieving an encrypted file key from the secured document;  
decrypting, when ~~if~~ permitted by the former state of the security-policy state  
machine, the encrypted file key to yield a file key;  
subsequently encrypting the file key in accordance with the subsequent state of  
the security-policy state machine; and  
storing the secured document, the secured document including at least an  
encrypted data portion and the subsequently encrypted file key.

20. (Currently Amended)     The A-method as recited in claim 14, wherein the  
~~said~~ transitioning (c) comprises:

retrieving an encrypted file key from the secured document;  
obtaining a private state key associated with the former state of the security-  
policy state machine;  
decrypting the encrypted file key using the private file key;  
obtaining a public state key associated with the subsequent state of the security-  
policy state machine;  
subsequently encrypting the file key in accordance with the public state key; and  
storing the secured document, the secured document including at least an  
encrypted data portion and the subsequently encrypted file key.

21. (Currently Amended) A method for imposing access restrictions on electronic documents, ~~said method~~ comprising:

providing at least one process-driven security policy at a server machine, the process-driven security policy having a plurality of states associated therewith, each of the states having distinct access restrictions;

providing a reference to the process-driven security policy at a client machine, the reference referring to the process-driven security policy resident on the server machine;

associating the reference to an electronic document;

transitioning the process-driven security policy from one state to a current state; and

subsequently determining at the server computer whether a requestor is permitted to access the electronic document, the access being based on a current state of the process-driven security policy, the current state being informed to the server computer by sending the reference to the server computer.

22. (Currently Amended) The A-method as recited in claim 21, wherein the ~~said~~ transitioning is automatically performed based on events.

23. (Currently Amended) The A-method as recited in claim 22, wherein the ~~said~~ transitioning is performed at the server machine.

24. (Currently Amended)     The A-method as recited in claim 21, wherein the  
~~said~~ associating associates the reference to a group of documents.

25. (Currently Amended)     The A-method as recited in claim 21, wherein the  
~~said~~ method pertains to a group of electronic documents, and wherein all of the  
electronic documents of the group are always in the same state of the process-driven  
security policy.

26. (Currently Amended)     The A-method as recited in claim 21, wherein the  
~~said~~ determining comprises evaluating the process-driven security policy of an electronic  
document at the server computer based on at least the security policy restrictions for the  
current state of the process-driven security policy for the electronic document.



27. (Currently Amended) A tangible computer readable medium including at least computer program code, which when executed by a computer, causes the computer to for transitioning at least one secured document through a security-policy state machine having a plurality of states, said computer readable medium comprising:

~~computer program code for receiving~~ detect an occurrence of an event;

~~computer program code for determining~~ determine whether the event causes a state transition for ~~the~~ at least one secured document from a former state to a subsequent state of ~~a the~~ security-policy state machine having a plurality of states; and

~~computer program code for automatically~~ transition ~~transitioning~~ from the former state to the subsequent state of the security-policy state machine ~~when said computer program code for upon determining~~ determines that the event causes the state transition.

28. (Currently Amended) A tangible computer readable medium including at least computer program code, which when executed by a computer, causes the computer to ~~for imposing access restrictions on electronic documents, said computer readable medium comprising:~~

~~computer program code for providing~~ provide at least one process-driven security policy at a server machine, the process-driven security policy having a plurality of states associated therewith, each of the states having distinct access restrictions;

~~computer program code for providing~~ provide a reference to the process-driven security policy at a client machine, the reference referring to the process-driven security policy resident on the server machine;

~~computer program code for associating~~ associate the reference to an electronic document;

~~computer program code for transforming~~ transform the process-driven security policy from one state to a current state; and

~~computer program code for determining~~ determine at the server computer whether a requestor is permitted to access the electronic document, the access being based on a current state of the process-driven security policy, the current state being informed to the server computer by sending the reference to the server computer.